



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SHIMKO, *et al.*

Appl. No. 09/294,898

Filed: April 20, 1999

For: **System, Method, and Computer
Program Product for Collateral
Management Operations**

Art Unit: 2164

Examiner: Weisberger, R.

Atty. Docket: 1750.0150000

#5
J. Cantor
10-16-01

Reply Under 37 C.F.R. § 1.111

Commissioner for Patents
Washington, D.C. 20231

Sir:

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In reply to the Office Action dated July 6, 2001, (PTO Prosecution File Wrapper Paper No. 4), Applicants submit the following Remarks.

It is not believed that extensions of time or fees are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
ATTORNEYS AT LAW
100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934
PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • www.skgf.com

ROBERT GREENE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX***
DAVID K.S. CORNWELL
ROBERT W. ESMOND
TRACY-GENE G. DURKIN
MICHELE A. CIMBALA
MICHAEL B. RAY
ROBERT E. SOKOHL
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ROBERT C. MILLONIG
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JUDITH U. KIM
TIMOTHY J. SHEA, JR.
PATRICK E. GARRETT
JEFFREY T. HELVEY*
HEIDI L. KRAUS
JEFFREY R. KURIN
PATRICK D. O'BRIEN
LAWRENCE B. BUGAISKY
CRYSTAL D. SAYLES

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JEFFREY S. WEAVER
KENDRICK P. PATTERSON
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VINCENT L. CAPUANO
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ELDORA ELLISON FLOYD*

W. RUSSELL SWINDELL
THOMAS C. FIALA
BRIAN J. DEL BUONO*
VIRGIL L. BEASTON*
RYAN J. STAMPER*
REGINALD D. LUCAS*
THEODORE A. WOOD
KAREN R. MARKOWICZ**
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TIMOTHY M. SPEER**
AARON L. SCHWATZ**

*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

October 9, 2001

WRITER'S DIRECT NUMBER:
(202) 218-7822
INTERNET ADDRESS:
eyee@skgf.com

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Re: U.S. Utility Patent Application
Appl. No. 09/294,898; Filed: April 20, 1999
For: **System, Method, and Computer Program Product for Collateral
Management Operations**
Inventors: Shimko *et al.*
Our Ref: 1750.0150000

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Reply Under 37 C.F.R § 1.111; and
2. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
October 9, 2001
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Edward W. Yee
Attorney for Applicants
Registration No. 47,294

EWY/dnk
Enclosures

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